Attorney Docket: 2626P Page 1 of 2

## **EXPRESS MAIL CERTIFICATE**

I hereby certify that the enclosed paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 19, 2003, Express Mail No. EL54786 82US. Signature of Person mailing paper/fee:

Grace Alicea

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: June 19, 2003

**FUNG** 

Serial No.: Unassigned

Group Art Unit: Unassigned

Filed: Herewith

Examiner: Unassigned

For: METHOD AND SYSTEM FOR UTILIZING A SPECIAL PURPOSE VEHICLE

FOR IMPROVING THE LIQUIDITY OF TRANSACTIONS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record. This Information Disclosure Statement is being submitted:

With copies.
Without copies. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial No. filed *. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609 (A)(2).
The documents listed on the attached Form PTO-1449 were cited in a Search Report directed to a counterpart international or foreign application.
With the application; accordingly, no fee or separate requirements are required.

Attorney Docket: 2626P Page 2 of 2

	tion on the merits.										
	r receipt of a first Office Action on the merits, but before the mailing date of a Final ce Action under §1.113, or a Notice of Allowance §1.311. Accompanied by one of:										
	Certification under 37 CFR §1.97(e); or										
	The fee set forth in §1.17(p)										
After mailing of a final Office Action or Notice of Allowance, but on or before payment of the issue fee. Accompanied by:											
	Certification under 37 CFR §1.97(e); and										
	The fee set forth in §1.17(p)										
A Certification under 37 C.F.R. §1.97(e) is provided below:											
	☐ I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.  -or-										
	I hereby certify that no item of information was cited in a communication from a foreign patent office in a counterpart foreign application or, to the best of my knowledge after making a reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.										
Amalia	ants would appreciate the Eveniner initialing and returning the Form PTO 1440										

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below. The Commissioner is hereby authorized to charge any fees associated with this communication, or credit any overpayment, to Deposit Account No. <u>02-2120</u> (Sawyer Law Group LLP).

June 19, 2003

Date

Respectfully submitted,

Stephen G. Sullivan
Sawyer Law Group LLP
Attorney for Applicant(s)

Reg. No. 38,329 (650) 493-4540

FORM PTO-1449 (Modified)								ATTY. DOCKET NO.			SERIAL NO.		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT								2700P	,		To Be Ass	signed	
								APPLICANT: FUNG					
(Use several sh	neets i	if ne	eces:	sary)				FILING DATE: Herewith	GROUP: To Be Assigned				
REFERENCE I	DESIC	3N.	ATI(	NC		U.S. PA	TEN	T DOCUMEN	TS				
EXAMINER INITIALS			MEN IBER		DATE		NAME CLASS SI					IG DATE APPRO.)	
	6	3	9 0	4 7	2	05-21-02	Vir	narsky					
	US 2002/0198816 A1			12-26-02	Gil	Gilbert et al.					<del></del>		
	US 2001/0034687 A1				10-25-01				Bus				
					]	FOREIGN I	PAT	TENT DOCUM	ENTS				
		DOCUMENT NUMBER DATE						COUNTRY	CLASS	S	SUBCLASS	Translation	
										YES	NO		
WO 02/46999 A2 06-13-02							PCT - WIPO				X		
		CO	ГНЕ	R Al	RT (	Including A	Luth	or, Title, Date,	Pertinent 1	Pag	ges, etc.)		
	http://www.intrade.com 6/11/2002												
	http://www.betfair.com 3/18/2003												
EXAMINER								DATE CONS	SIDERED				
EXAMINER: I	nitial i	f re	ferer	ice co	nsid	ered, whether	or n	not citation is in co	onformance	with	n MPEP 609	. Draw lin	e

through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.